



PHIL BREDESEN
GOVERNOR

STATE OF TENNESSEE
DEPARTMENT OF EDUCATION
DIVISION OF SPECIAL EDUCATION
7th FLOOR, ANDREW JOHNSON TOWER
710 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-0380

TIMOTHY K. WEBB, Ed.D.
COMMISSIONER

**LEA COMPREHENSIVE APPLICATION FOR
SPECIAL EDUCATION SERVICES**

Tennessee Code Annotated §49-10-302
Public Law 94-142, as amended
Public Law 105-17, as amended
Public Law 108-446

TO BE COMPLETED BY LOCAL SCHOOL SYSTEM

Compliance Assurance and Statistical Information		July 1, 2010 – September 30, 2011	
School System:	<u>Knox County Schools</u>		
Director of Schools:	<u>Dr. James McIntyre</u>		
	Signature	Date	
E-Mail Address:	<u>mcintyrej@k12tn.net</u>		
Name of Program Contact Person:	<u>Melissa Massie</u>		
E-Mail Address:	<u>massiem@k12tn.net</u>		
Address:	<u>Andrew Johnson Building</u>	Telephone No.:	<u>865-594-</u>
	<u>P.O. Box 2188</u>		<u>1540</u>
	<u>Knoxville, TN 37901-2188</u>		

TO BE COMPLETED BY THE STATE DEPARTMENT OF EDUCATION

Amount of IDEA, Part B Funds Approved:	<u>\$ 12,261,054.75</u>	Permissive Use of Funds Requested for:	
Amount of Preschool Grant Funds Approved:	<u>\$ 311,643.77</u>	A	B
			<input checked="" type="radio"/> C
	Signature (Authorized State Department of Education Official)		Date

Must be submitted to your Management Consultant no later than June 1, 2010.

**TCA 49-10-302
Section 612 (a) (11)**

COMPLIANCE ASSURANCES FOR USE OF IDEA FUNDS

The applicant hereby certifies to the Commissioner of Education that the representation made in this application properly reflects the projected pupils, personnel, and expenditures to be incurred in the operation of the special education program for pupils with disabilities conducted within the school system, that the expenditures for services and goods will be made exclusively for the benefit of pupils which meet the eligibility criteria established by the Department of Education, and that personnel assignments and other documentation of expenses will be readily available for audit. All records necessary to ensure the correctness of the information provided by the agency will be kept five years beyond the final reporting date and access to such records will be provided to the State Department of Education.

The Local Education Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA meets each of the following conditions:

1. Special Education and Related Services will be provided in compliance with the established Tennessee Rules, Regulations & Minimum Standards.
2. The LEA, in providing for the education of children with disabilities within its jurisdiction, has in effect policies, procedures, and programs that are consistent with the State policies and procedures established under §612.
 - a. A free appropriate public education (FAPE) is available to all children with disabilities, as defined under §602(3) and who have a current individual education program (IEP), residing in the State between the ages of 3 through 21, inclusive, including children with disabilities who have been suspended or expelled from school. 612(a) (1)
 - b. The LEA has established a goal of providing full educational opportunity to all children with disabilities and a detailed timetable for accomplishing that goal. 612(a) (2)
 - c. All children with disabilities residing in the LEA, including children with disabilities who are homeless children, or are wards of the State, and children with disabilities attending private schools within the LEAs jurisdiction, regardless of the severity of their disabilities, and who are in need of special education and related services, are identified, located and evaluated and a practical method is developed and implemented to determine which children with disabilities are currently receiving needed special education and related services. 612(a) (3)
 - d. An individualized education program (IEP), or an individualized family service plan (IFSP), that meets the requirements of section 636(d), is developed, reviewed and revised for each child with a disability in accordance with section 614(d). 612(a) (4)
 - e. To the maximum extent appropriate, children with disabilities, including children in public or private institutions or other care facilities, are educated with children who are not disabled. Special classes, separate schooling, or other removal of children with disabilities from the regular educational environment occurs only when the nature or severity of the disability of a child is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily. 612(a) (5) (A)
 - f. Children with disabilities and their parents are afforded the procedural safeguards required by §615. 612(a) (6)
 - g. Children with disabilities are evaluated in accordance with subsections (a) through (c) of § 614. 612(a) (7)
 - h. LEAs will comply with §617(c) relating to the confidentiality of records and information. 612(a) (8)
 - i. Children participating in early intervention programs assisted under Part C, and who will participate in preschool programs will experience a smooth and effective transition consistent with §637(a) (9). LEAs will participate in transition planning conferences arranged by the Lead Agency under §635(a) (10) and an IEP or, if consistent with sections 614(d) (2) (B) and 636(d), and IFSP will be developed and implemented by the third birthday. 612(a) (9)
 - j. Ensure that all requirements under §612(a) (10) regarding Children In Private Schools are being carried out in a manner consistent with the statute.
 - k. Ensure that all requirements under T.C.A. §49-10-107, T.C.A. §49-10-305 and T.C.A. §49-10-701, regarding Contracts for Special Education Services are being carried out in a manner consistent with the statute.
 - l. The LEA shall ensure that all personnel necessary to carry out this part are appropriately and adequately prepared, subject to the requirements of § 612(a) (14) and § 2122 of the Elementary and Secondary Education Act of 1965. 613(a) (3)
 - m. This LEA will either choose to coordinate with the National Instructional Materials Access Center when purchasing print instructional materials in accordance with section 612(a) (23) or will provide instructional materials to blind persons or other persons with print disabilities in a timely manner. 613(a) (6)

LEA: Knox County Schools

3. The LEA shall provide the SEA with information necessary to enable the SEA to carry out its duties under this part, including, with respect to Sections 612(a) (15) and 612 (a) (16), information relating to the performance of children with disabilities participating in programs carried out under this part. 613(a) (7)
4. The LEA shall make available to parents of children with disabilities and to the general public all documents relating to the eligibility of such agency under this part. 613(a) (8)
5. The LEA shall cooperate under section 1308 of the Elementary and Secondary Education Act of 1965 to ensure the linkage of records pertaining to migratory children with disabilities for the purpose of electronically exchanging, among the States, health and educational information regarding such children. 613(a) (9)
6. Subject to section 613(b) (3), the LEA application submitted to the SDE shall remain in effect until the LEA submits to the SDE such modifications as the LEA determines necessary. 613(b) (2)
7. Ensure that all requirements under §613(a) (5) regarding treatment of Charter Schools and their students are being carried out in a manner consistent with the statute.
8. Children with disabilities served with IDEA funds shall be counted in the same manner as children without disabilities to supplement the academic program funds earned and paid from the Basic Education Program. TRR&MS 0520-1-9-.03 (3) (a)
9. Funds provided under IDEA will be used to pay the excess cost of providing special education and related services to children with disabilities; shall be used to supplement the State, Local and other Federal funds and not to supplant such funds; and shall not be used, except as provided in §613(a) (2) (B)-(C) to reduce the level of expenditures. §613(a) (2) (A) and §612(a) (17), TRR&MS 0520-1-9-03(2) (k) (4)
10. According to Rule 0520-1-9-14(6) (a), procedures for providing an independent education evaluation (IEE) upon parental request have been submitted and approved to the Department of Education. Any future revision to the IEE procedure will be submitted to the Department for approval.
11. According to TCA 9-1-104 (a) & (b) *Maximum class size*, our LEA case load and class size standards have been submitted and approved by the Department. There have been no changes since the original submission. (The State will review LEA caseload and class size standards for compliance.) Any future LEA updates or revisions will be submitted to the Division of Special Education for approval and for reference purposes.
12. Children with disabilities served with IDEA funds have at least the same average amount spent on them, from sources other than Part B, as do the children in the school system taken as a whole. TRR&MS 0520-1-9-.03 (3) (a) (b)

Signature of Director of Schools

Date

**A. Children With Disabilities To Receive
Free Appropriate Public Education Services from the School System
2010-2011**

DISABILITY	(1) Within School System		Full time placement only (2) Contractual Agreement		(3) Total to Receive FAPE		(4) # Receiving Special Transportation **		(5) # Private School Students Placed by LEA Receiving Services		Placed by Parents			
											(6a) # Private School Students Placed by Parents within system		(6b) # Of Private School Students (6a) Served by LEA This School Year	
	3-5	6-21	3-5	6-21	3-5	6-21	3-5	6-21	3-5	6-21	3-5	6-21	3-5	6-21
Autism	81	545	0	0	81	545	48	153	0	0	1	9	1	8
Blind	1	8	0	0	1	8	0	0	0	0	0	0	0	0
Deaf-Blindness	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Deafness	0	9	0	0	0	9	0	8	0	0	0	0	0	0
Developmental Delay	132	85	0	0	132	85	82	23	0	0	2	0	2	0
Emotional Disturbance	0	489	0	0	0	489	0	188	0	0	0	1	0	0
Hearing Impairments	16	63	0	0	16	63	13	18	0	0	0	0	0	0
Intellectually Gifted	2	3	0	0	2	3	0	0	0	0	0	0	0	0
Language Impairments	202	483	0	0	202	483	55	8	0	0	2	10	0	8
Mental Retardation	2	276	0	0	2	276	1	140	0	0	0	1	0	1
Multiple Disabilities	28	213	0	0	28	213	18	131	0	0	0	0	0	0
Orthopedic Impairments	3	30	0	0	3	30	2	11	0	0	0	0	0	0
Other - Functional Delay	0	316	0	0	0	316	0	35	0	0	0	0	0	0
Other - Health Impairments	44	618	0	0	44	618	28	92	0	0	1	3	1	3
Specific Learning Disabilities	0	2586	0	0	0	2586	0	88	0	0	0	1	0	0
Speech Impairment	390	1070	0	0	390	1070	3	0	0	0	4	38	1	27
Traumatic Brain Injury	1	24	0	0	1	24	0	7	0	0	0	0	0	0
Visual Impairments	4	14	0	0	4	14	0	1	0	0	0	0	0	0
TOTAL	906	6832	0	0	906	6832	250	903	0	0	10	63	5	47

Grand Total 7738

** If special transportation is provided a transportation budget page must be included – GP and/or federal.

- Unduplicated Count
- EOY Report may be used as a method of projecting numbers.

LEA: Knox County Schools

B.1 OPTIONS TO BE PROVIDED AGES 3 – 5*

OPTION 1	OPTION 2	OPTION 3	OPTION 4	OPTION 5	OPTION 6**	OPTION 7	OPTION 8***	OPTION 9	OPTION 10	*TOTAL
296	450	62	79	109		120	9		3	1128

*Must be equal to or greater than total for 3-5 yr olds in column 3, pg 3

B.2 OPTIONS TO BE PROVIDED AGES 6 -21*

OPTION 1	OPTION 2	OPTION 3	OPTION 4	OPTION 5	OPTION 6**	OPTION 7	OPTION 8***	OPTION 9	OPTION 10	*TOTAL
1733	1785	1454	495	835	12	988	238		34	7574

*Must be equal to or greater than total for 6-21 yr olds in column 3, pg 3

* This is a **duplicated count** and should reflect both primary and one secondary option of service.

** For each option 6 student there is at least ½ FTE of an education assistant or interpreter position budgeted in general purpose funds.

***Since Option 8 includes 2 related services, students reported in Option 8 should not be reported in another Option.

(It is recommended that the LEA pull Options of Service from a date when the LEA's enrollment was at its peak)

Do not place 0's in columns with no students.

TRR & MS 0520-1-9-.03 (1) (k)

C. FINANCIAL INFORMATION

1. PERMISSIVE USE OF FUNDS

AFTER reading the requirements on the corresponding form, you must answer **yes** or **no** to each of the following items (A, B, and C). Any **yes** response requires completion of a corresponding form that follows. If **no** is answered on all three items, continue completing the application and disregard the attached forms for these permissive programs.

Yes No **A. Adjustment to Local Effort in Certain Fiscal Years.** IDEA 04 allows an LEA to reduce state and local maintenance of effort by an amount that equals up to 50% of the increase in IDEA funds from the previous year if those funds are used to provide activities authorized under ESEA. If the LEA wishes to reduce MOE, it must use the attached form to calculate the maximum amount available for this purpose (Page 5A) and indicate how these funds will be used (Page 5A(1)).

There are limitations to this adjustment. Any LEA identified as not meeting requirements in the State’s APR, is prohibited by law from reducing its maintenance of effort figures.

Yes No **B. Permissive Use of IDEA funds for Schoolwide Program.** IDEA allows an LEA to use funds to carry out a schoolwide program under Section 1114 of ESEA. If an applicant utilizes these funds, you will report the designated amount for each school and ensure that excess cost and service requirements are met. (Use form on Page 5B)

Yes No **C. Permissive Use of IDEA funds for Coordinated Early Intervening Services.** IDEA allows an LEA to use up to 15% of funds, in combination with other amounts (other than education funds), to develop and implement Coordinated Early Intervening Services, which may include interagency financing structures, for students in kindergarten through grade 12 (with particular emphasis on students in kindergarten through grade 3) who have **not been identified** as needing special education or related services but who need additional academic and behavioral **support to succeed in a general education environment.** (Use form on Page 5C) *If the LEA is also electing to reduce local effort.*

NOTE: If an LEA is determined to have significant disproportionality based on race and ethnicity utilizing state criteria, the LEA must utilize Coordinated Early Intervening Services (Section 618 (d) (2) (B)). The amount expended by an LEA under Coordinated Early Intervening Services shall count toward the maximum amount of expenditures such LEAs may reduce in Item “A” *Adjustment to Local Effort* (above).

If an applicant utilizes these funds, you must show the calculations on the corresponding form.

Complete this form only if Item C, Page 5 is marked "yes".

LEA: Knox County Schools

C. Use of IDEA Funds for Coordinated Early Intervening Service

1)

1. Estimated/final IDEA allocation	\$ 12,261,054.75
Line 1 X 15%:	X .15
2. Maximum amount available for Early Intervening Services:	\$ 1,839,158.21
IF the LEA is requesting the use of IDEA funds as local funds (Item "A" in the Permissive Use of Funds list). The following calculation must be included.	
3. Amount available for use as local funds (Item A – Use of Funds Local Effort):	\$ _____
4. Subtract Line 3 above	\$ _____
5. Amount available for Item "A" to use as local funds	\$ _____
If the Early Intervening amount is more than the amount in line 5 in Form "A" <i>Use of IDEA funds as Local Funds</i> , Early Intervening will cancel out the local funds reduction. If Early Intervening amount is less, the difference may be taken under Form "A".	

2) Mandatory Use: **Narrative for Mandatory Use of Funds:** N/A

3) Voluntary Use: **Narrative for Voluntary Use of Funds:**

List specific interventions or areas to be addressed: (include grade levels and schools)

Monitoring and research –based interventions will be used in all elementary schools grades K-5. Educational software, small group direct instruction and individual practice will be implemented by regular education teachers and Intervention Mentor Coaches with at-risk students in the areas of reading and math. Specific examples include Destination Reading, Read Naturally, Headsprout, Interactive Phonics, PLATO Focus Reading and Language, Orchard, Lexia Early Reading Intervention, and Language !. Specific examples in mathematics include Destination Math, Moving with Math, and Math Steps. The grades at which the interventions are used are variable depending on the skill level of the students. Progress monitoring will also be implemented by the regular education teachers and Intervention Mentor Coaches once a week using CBM probes and entering data into AIMSweb while students are receiving interventions.

Projected Expenditures for Coordinated Early Intervening Services

4)

Account No*	Expenditure Account Name	FTE	Amount Budgeted
71100-189	Other Salaries and Wages (Intervention Coaches)	20.0	1,051,493.00
71100-201	Social Security		76,389.00
71100-211	Local Retirement		2,538.00
71100-204	State Retirement		92,962.00
71100-206	Life Insurance		1,680.00
71100-207	Medical Insurance		95,888.00
71100-208	Dental Insurance		480.00
71100-355	Travel Supplement		18,000.00
71100-355	Travel Reimbursement		4,000.00
71100-399	Other Contracted Services (AIMSweb)		85,000.00
71100-429	Instructional Supplies		2,000.00
72210-499	Other Supplies and Materials		2,000.00
		Total:	1,432,430.00

* **Must use general education account codes. DO NOT use special education specific codes.**

NOTE: A justification page must be attached with specific information given for each line item listed above.

JUSTIFICATIONS

IDEA Funds for Coordinated Early Intervening Services

4)

ACCOUNT NUMBER*	EXPENDITURE ITEM	JUSTIFICATION
71100-189	Intervention Coaches and Behavior Liaisons for RTI	To pay for salaries for 18 Intervention Coaches and 2 Behavior Liaisons for RTI
71100-201	Social Security	To pay for social security for above personnel
71100-211	Local Retirement	To pay for fringe benefits for above personnel
71100-204	State Retirement	To pay for fringe benefits for above personnel
71100-206	Life Insurance	To pay for fringe benefits for above personnel
71100-207	Medical Insurance	To pay for fringe benefits for above personnel
71100-208	Dental Insurance	To pay for fringe benefits for above personnel
71100-355	Travel Supplement	To pay for travel for 18 Intervention Coaches
71100-355	Travel Reimbursement	To pay for travel for 2 system-wide Behavior Liaisons
71100-399	Other Contracted Services	To pay for AIMSweb contract for progress monitoring for at-risk students and track benchmarks
71100-429	Instructional Supplies	To pay for RTI instructional supplies (i.e. student incentives such as pencils, erasers, and other school supplies)
72210-499	Other Supplies and Materials	To pay for materials and supplies for RTI such as student incentives and office supplies (i.e. ink cartridges and timers)

*Must use regular education account codes only.

C. FINANCIAL INFORMATION

2. Estimated Expenditures
Education for Students with Disabilities

ACCOUNT NO 71200	EXPENDITURES INSTRUCTION (71000) SPECIAL EDUCATION PROGRAM (71200)	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions	PRESCHOOL GRANT	*FTE Positions
116	Teachers	19,070,082.00	410.10	150,105.00	4.00		
117	Career Ladder Program	265,635.00	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
127	Career Ladder Extended Contracts	58,000.00	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
128	Homebound Teachers	298,578.00	4.76				
162	Clerical Personnel	29,585.00	1.00				
163	Educational Assistants	3,349,555.00	161.35	5,198,663.00	291.50	153,635.00	9.00
171	Speech Pathologist	2,093,959.00	46.30	41,170.00	1.00	4,872.00	0.12
189	Other Salaries & Wages	57,702.00	1.00				
195	Certified Substitute Teachers	236,000.00					
198	Non-Certified Substitute Teachers						
201	Social Security	1,690,144.00	XXXXXXXX	387,410.00	XXXXXXXX	11,350.00	XXXXXXXX
204	State Retirement	1,933,514.00	XXXXXXXX	21,089.00	XXXXXXXX		XXXXXXXX
206	Life Insurance	44,040.00	XXXXXXXX	16,566.00	XXXXXXXX	396.00	XXXXXXXX
207	Medical Insurance	2,379,815.00	XXXXXXXX	910,001.00	XXXXXXXX	25,410.00	XXXXXXXX
208	Dental Insurance	15,445.00	XXXXXXXX	5,760.00	XXXXXXXX	144.00	XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX		XXXXXXXX
212	Employer Medicare		XXXXXXXX		XXXXXXXX		XXXXXXXX
299	Other Fringe Benefits	234,796.00	XXXXXXXX	304,682.00	XXXXXXXX	10,138.00	XXXXXXXX
310	Contracts With Other Public Agencies	115,000.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
311	Contracts With Other School Systems		XXXXXXXX		XXXXXXXX		XXXXXXXX
312	Contracts With Private Agencies	399,733.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
322	Evaluation & Testing		XXXXXXXX		XXXXXXXX		XXXXXXXX
330	Operating Lease Payments		XXXXXXXX		XXXXXXXX		XXXXXXXX
336	Maintenance And Repair Services - Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
356	Tuition		XXXXXXXX		XXXXXXXX		XXXXXXXX
369	Contracts for Substitute Teachers - Certified		XXXXXXXX		XXXXXXXX		XXXXXXXX
370	Contracts for Substitute Teachers - Non-Certified		XXXXXXXX		XXXXXXXX		XXXXXXXX
399	Other Contracted Services		XXXXXXXX		XXXXXXXX		XXXXXXXX
429	Instructional Supplies & Materials	319,300.00	XXXXXXXX	487,909.00	XXXXXXXX	8,873.77	XXXXXXXX
449	Textbooks	3,200.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
499	Other Supplies & Materials	70,000.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
535	Fee Waivers		XXXXXXXX		XXXXXXXX		XXXXXXXX
599	Other Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
725	Special Education Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
71200	TOTAL EXPENDITURES	32,664,083.00	XXXXXXXX	7,523,355.00	XXXXXXXX	214,818.77	XXXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – use two decimals.

Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

TRR&MS 0520-1-9-.03 (2) (k) (7)

0520-1-9-.03 (1) (k) (2)

EDGAR Sect 80.20 (a) (2)

(Subpart C (b) (4))

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C. FINANCIAL INFORMATION (Continued)

2. Estimated Expenditures
Education for Students with Disabilities

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions	PRESCHOOL GRANT	*FTE Positions
72130	SUPPORT SERVICES (72000)						
	STUDENTS (72100)						
	OTHER STUDENT SUPPORT (72130)						
117	Career Ladder Program		XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
123	Guidance Personnel						
124	Psychological Personnel						
127	Career Ladder - Extended Contracts		XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
130	Social Workers						
135	Assessment Personnel					22,929.00	1.00
161	Secretary(s)						
162	Clerical Personnel						
164	Attendants						
170	School Resource Officers						
189	Other Salaries & Wages						
201	Social Security		XXXXXXXX		XXXXXXXX	1,754.00	XXXXXXXX
204	State Retirement		XXXXXXXX		XXXXXXXX	2,075.00	XXXXXXXX
206	Life Insurance		XXXXXXXX		XXXXXXXX	59.00	XXXXXXXX
207	Medical Insurance		XXXXXXXX		XXXXXXXX		XXXXXXXX
208	Dental Insurance		XXXXXXXX		XXXXXXXX		XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX		XXXXXXXX
212	Employer Medicare		XXXXXXXX		XXXXXXXX		XXXXXXXX
299	Other Fringe Benefits		XXXXXXXX		XXXXXXXX		XXXXXXXX
307	Communication		XXXXXXXX		XXXXXXXX		XXXXXXXX
309	Contracts with Government Agencies		XXXXXXXX		XXXXXXXX		XXXXXXXX
311	Contracts with other School Systems		XXXXXXXX		XXXXXXXX		XXXXXXXX
322	Evaluation & Testing		XXXXXXXX		XXXXXXXX		XXXXXXXX
330	Operating Lease Payment		XXXXXXXX		XXXXXXXX		XXXXXXXX
336	Maintenance & Repair Services-Equip		XXXXXXXX		XXXXXXXX		XXXXXXXX
348	Postal Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
355	Travel		XXXXXXXX		XXXXXXXX		XXXXXXXX
399	Other Contracted Services		XXXXXXXX		XXXXXXXX		XXXXXXXX
499	Other Supplies & Materials		XXXXXXXX		XXXXXXXX		XXXXXXXX
524	In-service/Staff Development		XXXXXXXX		XXXXXXXX		XXXXXXXX
599	Other Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
790	Other Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
72130	TOTAL EXPENDITURES	0.00	XXXXXXXX	0.00	XXXXXXXX	26,817.00	XXXXXXXX

Special Education Expenses Only

*FTE Positions: Full time equivalency – **use two decimals.**

Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (Continued)

2. Estimated Expenditures
Education for Students with Disabilities

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions	PRESCHOOL GRANT	*FTE Positions
72220	SUPPORT SERVICES (72000) INSTRUCTIONAL STAFF (72200) SPECIAL EDUCATION PROGRAM STAFF (72220)						
105	Supervisor/Director	1,014,237.00	12.48	533,013.00	6.28		
117	Career Ladder Program	31,000.00	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
124	Psychological Personnel	1,223,114.00	23.00				
127	Career Ladder Extended Contracts	10,300.00	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
135	Assessment Personnel						
161	Secretary(s)						
162	Clerical Personnel	721,929.00	20.74	2,085.00	0.06		
171	Speech Pathologist (Supervisory)						
189	Other Salaries & Wages	2,795,472.00	62.85	1,410,713.00	26.00	61,525.00	1.00
196	In-Service Training		XXXXXXXX		XXXXXXXX		XXXXXXXX
201	Social Security	403,557.00	XXXXXXXX	140,903.00	XXXXXXXX	4,707.00	XXXXXXXX
204	State Retirement	202,974.00	XXXXXXXX	163,304.00	XXXXXXXX		XXXXXXXX
206	Life Insurance	7,565.00	XXXXXXXX	2,712.00	XXXXXXXX	84.00	XXXXXXXX
207	Medical Insurance	476,893.00	XXXXXXXX	156,912.00	XXXXXXXX		XXXXXXXX
208	Dental Insurance	3,420.00	XXXXXXXX	1,310.00	XXXXXXXX		XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX		XXXXXXXX
212	Employer Medicare		XXXXXXXX		XXXXXXXX		XXXXXXXX
299	Other Fringe Benefits	276,231.00	XXXXXXXX	11,934.00	XXXXXXXX	3,692.00	XXXXXXXX
307	Communication	14,000.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
308	Consultants		XXXXXXXX		XXXXXXXX		XXXXXXXX
330	Operating Lease Payments	26,700.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
336	Maintenance & Repair Services -Equipment	500.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
348	Postal Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
355	Travel	175,000.00	XXXXXXXX	65,500.00	XXXXXXXX		XXXXXXXX
399	Other Contracted Services	321,998.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
499	Other Supplies & Materials	91,975.00	XXXXXXXX	326,321.23	XXXXXXXX		XXXXXXXX
524	In-Service/Staff Development	63,540.00	XXXXXXXX	74,077.00	XXXXXXXX		XXXXXXXX
599	Other Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
790	Other Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
72220	TOTAL EXPENDITURES	7,860,405.00	XXXXXXXX	2,888,784.23	XXXXXXXX	70,008.00	XXXXXXXX

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Special Education Expenses Only

*FTE Positions: Full time equivalency – use two decimals.

Line item substitutions or additions shall NOT be made.

All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.

Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (continued)
2. Estimated Expenditures for Education for Students with Disabilities

ACCOUNT NO.	EXPENDITURES	GENERAL PURPOSE FUND	*FTE Positions	IDEA PART B	*FTE Positions	PRESCHOOL GRANT	*FTE Positions
72710	SUPPORT SERVICES (72000) STUDENT TRANSPORTATION (72700) TRANSPORTATION (72710)						
105	Supervisor/Director						
142	Mechanic(s)						
146	Bus Drivers						
162	Clerical Personnel	78,491.00	2.00				
189	Other Salaries & Wages						
196	In-Service Training						
201	Social Security	5,270.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
204	State Retirement		XXXXXXXX		XXXXXXXX		XXXXXXXX
206	Life Insurance	170.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
207	Medical Insurance	7,939.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
208	Dental Insurance		XXXXXXXX		XXXXXXXX		XXXXXXXX
210	Unemployment Compensation		XXXXXXXX		XXXXXXXX		XXXXXXXX
212	Employer Medicare		XXXXXXXX		XXXXXXXX		XXXXXXXX
299	Other Fringe Benefits	2,290.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
307	Communication		XXXXXXXX		XXXXXXXX		XXXXXXXX
311	Contracts with Other School Systems		XXXXXXXX		XXXXXXXX		XXXXXXXX
312	Contracts with Private Agencies		XXXXXXXX		XXXXXXXX		XXXXXXXX
313	Contracts with Parents	100,000.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
314	Contracts with Public Carriers		XXXXXXXX		XXXXXXXX		XXXXXXXX
315	Contracts with Vehicle Owners	4,045,581.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
329	Laundry Service		XXXXXXXX		XXXXXXXX		XXXXXXXX
330	Operating Lease Payments		XXXXXXXX		XXXXXXXX		XXXXXXXX
338	Maintenance & Repair Service -Vehicles		XXXXXXXX		XXXXXXXX		XXXXXXXX
340	Medical & Dental Services		XXXXXXXX		XXXXXXXX		XXXXXXXX
348	Postal Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
351	Rentals		XXXXXXXX		XXXXXXXX		XXXXXXXX
355	Travel		XXXXXXXX		XXXXXXXX		XXXXXXXX
399	Other Contracted Services		XXXXXXXX		XXXXXXXX		XXXXXXXX
412	Diesel Fuel		XXXXXXXX		XXXXXXXX		XXXXXXXX
418	Equipment & Machinery Parts		XXXXXXXX		XXXXXXXX		XXXXXXXX
424	Garage Supplies		XXXXXXXX		XXXXXXXX		XXXXXXXX
425	Gasoline		XXXXXXXX		XXXXXXXX		XXXXXXXX
433	Lubricants		XXXXXXXX		XXXXXXXX		XXXXXXXX
450	Tires & Tubes		XXXXXXXX		XXXXXXXX		XXXXXXXX
453	Vehicle Parts		XXXXXXXX		XXXXXXXX		XXXXXXXX
499	Other Supplies & Materials	7,000.00	XXXXXXXX		XXXXXXXX		XXXXXXXX
511	Vehicle & Equipment Insurance		XXXXXXXX		XXXXXXXX		XXXXXXXX
524	In-Service/Staff Development		XXXXXXXX		XXXXXXXX		XXXXXXXX
599	Other Charges		XXXXXXXX		XXXXXXXX		XXXXXXXX
701	Administration Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
729	Transportation Equipment		XXXXXXXX		XXXXXXXX		XXXXXXXX
72710	TOTAL EXPENDITURES	4,246,741.00	XXXXXXXX	0.00	XXXXXXXX	0.00	XXXXXXXX

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Special Education Expenses Only
 *FTE Positions: Full time equivalency – use two decimals
 Line item substitutions or additions shall NOT be made.
 All personnel must be verified with budget allocation. Do not put numbers in XXX blocks.
Expenditures for gifted and functionally delayed students cannot be paid from federal funds.

C. FINANCIAL INFORMATION (continued)

**3. Estimated Expenditure Summary
Education for Students with Disabilities**

ACCOUNT SERIES	EXPENDITURES	GENERAL PURPOSE FUND	IDEA	PRESCHOOL
71150	Alternative Schools			
71200	Special Education Program	32,664,083.00	7,523,355.00	214,818.77
72120	Health Services			
72130	Other Student Support			26,817.00
72215	Alternative Instruction Program			
72220	Special Education Program Staff	7,860,405.00	2,888,784.23	70,008.00
72410	Office of Principal			
72710	Transportation	4,246,741.00		
99100-504	Transfer Out of Funds: Indirect Cost *	XXXXXXXX	416,485.52	0.00
99100-504	Permissive Use of Funds:	XXXXXXXX	XXXXXXXX	XXXXXXXX
	A. Adjustment to Local Effort		XXXXXXXX	XXXXXXXX
	B. School Wide	XXXXXXXX		XXXXXXXX
	C. Early Intervening Services	XXXXXXXX	1,432,430.00	XXXXXXXX
TOTAL EXPENDITURES		44,771,229.00	12,261,054.75	311,643.77

*Indirect Cost Rate: 4.00% (List indirect cost rate approved for FY '11)

Note: Subtract out all expenditures for equipment prior to determining indirect cost amounts.
Do Not include any "Permissive Use of Funds" expenditures when determining indirect cost amounts.

GENERAL PURPOSE FUND TOTAL SHALL MATCH TOTAL ON PAGE 16D

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: Knox County Schools

Special Education Instruction Program

	Acct. No. 71200	Description	Justification	
X	116	Teachers	To pay for teachers working with SE students	
	128	Homebound Teachers	To pay for homebound teachers working w/ SE students	
	162	Clerical Personnel	To pay for SE clerical personnel	
X	163	Educational Assistants	To pay for SE educational assistants	
X	171	Speech Pathologist	To pay for SE speech pathologist	
	189	Other Salaries & Wages (Specify)		
	195	Certified Substitute Teachers	Certified Substitutes for SE teachers	
	198	Non-Certified Substitute Teachers	Non-Certified Substitutes for SE teachers	
X	201	Social Security	Fringe benefit for above salaries	
X	204	State Retirement	Fringe benefit for above salaries	
X	206	Life Insurance	Fringe benefit for above salaries	
X	207	Medical Insurance	Fringe benefit for above salaries	
X	208	Dental Insurance	Fringe benefit for above salaries	
	210	Unemployment compensation	Fringe benefit for above salaries	
	212	Employer Medicare	Fringe benefit for above salaries	
X	299	Other Fringe Benefits (Specify)	Local retirement for non-certified personnel salaries	
	310	Contracts with other Public Agencies	Contract with:	For:
	311	Contracts with other School Systems	Contract with:	For:
	312	Contracts with Private Agencies	Contract with:	For:
	322	Evaluation & Testing	To evaluate and test S. E. students	
	330	Operating Lease Payments (Specify)		
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment	
	356	Tuition	To pay tuition for SE students unable to afford it	
	369	Contracts for Substitute Teachers - Certified	To pay actual contracts for certified SE substitute teachers	
	370	Contracts for Substitute Teachers – Non-Certified	To pay actual contracts for non-certified SE substitute teachers	
	399	Other Contracted Services (Specify)		
X	429	Instructional Supplies & Materials (Specify)	To pay for materials to implement student IEP's such as tactile devices, computer accessories (i.e.touch screens)and software, independent living aids/devices	
	449	Textbooks (Specify)		
	499	Other Supplies & Materials (Specify)		
	535	Fee Waivers	To pay fees for SE students that cannot afford them	
	599	Other Charges (Specify)		
	725	Special Education Equipment *		

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page
IDEA, PART B

LEA: Knox County Schools

Support Services/Special Education Program

	Acct. No. 72220	Description	Justification
X	105	Supervisor/Director	Pay salary for SE Supervisor/Director
	124	Psychological Personnel	To pay salary of SE psychologist
	135	Assessment Personnel	To pay salary of SE assessment personnel
	161	Secretary(s)	To pay salary of SE secretary
X	162	Clerical Personnel	To pay salary of SE clerks
	171	Speech Pathologist (Supervisory)	To pay for SE speech pathologist for supervisory services
X	189	Other Salaries and Wages (Specify)	To pay for salaries for 8 Teacher Coaches, 8 Behavior Liaisons, 8 Program Consultants, and 2 Technical Support personnel
	196	In-Service Training	Pay for training of SE support staff
X	201	Social Security	Fringe benefit for above personnel
X	204	State Retirement	Fringe benefit for above personnel
X	206	Life Insurance	Fringe benefit for above personnel
X	207	Medical Insurance	Fringe benefit for above personnel
X	208	Dental Insurance	Fringe benefit for above personnel
	210	Unemployment Compensation	Fringe benefit for above personnel
	212	Employer Medicare	Fringe benefit for above personnel
X	299	Other Fringe Benefits (Specify)	To pay for local retirement for non-certified personnel
	307	Communication	SE communication costs
	308	Consultants	To pay consultants For:
	330	Operating Lease Payments (Specify)	
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment
	348	Postal Charges	To pay for postal charges for SE programs
X	355	Travel	Travel for SE support Staff For: Teacher Coaches and Behavior Consultants
	399	Other Contracted Services (Specify)	
X	499	Other Supplies & Materials (Specify)	To pay for miscellaneous materials to implement student IEP's such as Autism curriculum and instructional kits for teachers, professional books, timers
X	524	In-Services/Staff Development (Specify)	Private school allotment used for staff development and consultation / training in disability areas such as Autism and Language
	599	Other Charges (Specify)	
	790	Other Equipment (Specify)	

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page

LEA: Knox County Schools

PRESCHOOL GRANT

Special Education Instruction Program

	Acct. No. 71200	Description	Justification	
	116	Teachers	To pay for teachers working with SE students	
	128	Homebound Teachers	To pay for homebound teachers working w/ SE students	
	162	Clerical Personnel	To pay for SE clerical personnel	
X	163	Educational Assistants	To pay for SE educational assistants	
X	171	Speech Pathologist	To pay for SE speech pathologist	
	189	Other Salaries & Wages (Specify)		
	195	Certified Substitute Teachers	Certified Substitutes for SE teachers	
	198	Non-Certified Substitute Teachers	Non-Certified Substitutes for SE teachers	
X	201	Social Security	Fringe benefit for above salaries	
	204	State Retirement	Fringe benefit for above salaries	
X	206	Life Insurance	Fringe benefit for above salaries	
X	207	Medical Insurance	Fringe benefit for above salaries	
X	208	Dental Insurance	Fringe benefit for above salaries	
	210	Unemployment compensation	Fringe benefit for above salaries	
	212	Employer Medicare	Fringe benefit for above salaries	
X	299	Other Fringe Benefits (Specify)	To pay for local retirement for non-certified above personnel	
	310	Contracts with other Public Agencies	Contract with:	For:
	311	Contracts with other School Systems	Contract with:	For:
	312	Contracts with Private Agencies	Contract with:	For:
	322	Evaluation & Testing	To evaluate and test S. E. students	
	330	Operating Lease Payments (Specify)		
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment	
	356	Tuition	To pay tuition for SE students unable to afford it	
	369	Contracts for Substitute Teachers - Certified	To pay actual contracts for certified SE substitute teachers	
	370	Contracts for Substitute Teachers – Non-Certified	To pay actual contracts for non-certified SE substitute teachers	
	399	Other Contracted Services (Specify)		
X	429	Instructional Supplies & Materials (Specify)	To pay for instructional materials to implement IEP's such as educational toys and games, manipulatives, communication books and flash cards	
	449	Textbooks (Specify)		
	499	Other Supplies & Materials (Specify)		
	535	Fee Waivers	To pay fees for SE students that cannot afford them	
	599	Other Charges (Specify)		
	725	Special Education Equipment (Specify)		

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page

LEA: Knox County Schools

PRESCHOOL GRANT

Students Support Services/Other Student Support

	Acct. No. 72130	Description	Justification	
	123	Guidance Personnel	SE to pay salary of guidance personnel to work w/children w/disabilities	
	124	Psychological Personnel	To pay salary of SE psychological personnel	
	130	Social Workers	To pay salary of SE social workers	
X	135	Assessment Personnel	To pay salary of SE assessment personnel	
	161	Secretary(s)	To pay salary of SE secretary for support personnel	
	162	Clerical Personnel	To pay salary of SE clerical worker for support personnel	
	164	Attendants	To pay salary of SE attendants	
	170	School Resource Officers	To pay salary of SE school resource officer	
	189	Other Salaries and Wages (Specify)		
X	201	Social Security	Fringe benefit for above salaries	
X	204	State Retirement	Fringe benefit for above salaries	
X	206	Life Insurance	Fringe benefit for above salaries	
	207	Medical Insurance	Fringe benefit for above salaries	
	208	Dental Insurance	Fringe benefit for above salaries	
	210	Unemployment Compensation	Fringe benefit for above salaries	
	212	Employer Medicare	Fringe benefit for above salaries	
	299	Other Fringe Benefits (Specify)		
	307	Communication	SE communication costs	
	309	Contracts with Government Agencies	Contract with:	For:
	311	Contracts with other School Systems	Contract with:	For:
	322	Evaluation & Testing	To evaluate and test SE students by guidance, psychological, or assessment staff	
	330	Operating Lease Payments (Specify)		
	336	Maintenance & Repair Services - Equipment	To maintain & repair equipment used by support staff	
	348	Postal Charges	To pay for postage used by support staff	
	355	Travel	Travel by SE support staff	
	399	Other Contracted Services (Specify)		
	499	Other Supplies & Materials (Specify)		
	524	In-Service/Staff Development (Specify)		
	599	Other Charges (Specify)		
	790	Other Equipment (Specify)		

C. FINANCIAL INFORMATION
5. Estimated Expenditure Justification Page

LEA: Knox County Schools

PRESCHOOL GRANT

Support Services/Special Education Program

	Acct. No. 72220	Description	Justification
	105	Supervisor/Director	Pay salary for SE Supervisor/Director
	124	Psychological Personnel	To pay salary of SE psychologist
	135	Assessment Personnel	To pay salary of SE assessment personnel
	161	Secretary(s)	To pay salary of SE secretary
	162	Clerical Personnel	To pay salary of SE clerks
	171	Speech Pathologist (Supervisory)	To pay for SE speech pathologist for supervisory services
X	189	Other Salaries and Wages (Specify)	To pay salary for program consultant
	196	In-Service Training	Pay for training of SE support staff
X	201	Social Security	Fringe benefit for above personnel
	204	State Retirement	Fringe benefit for above personnel
X	206	Life Insurance	Fringe benefit for above personnel
	207	Medical Insurance	Fringe benefit for above personnel
	208	Dental Insurance	Fringe benefit for above personnel
	210	Unemployment Compensation	Fringe benefit for above personnel
	212	Employer Medicare	Fringe benefit for above personnel
X	299	Other Fringe Benefits (Specify)	To pay for local retirement for non-certified above personnel
	307	Communication	SE communication costs
	308	Consultants	To pay consultants For:
	330	Operating Lease Payments (Specify)	
	336	Maintenance & Repair Services-Equipment	To maintain and repair SE equipment
	348	Postal Charges	To pay for postal charges for SE programs
	355	Travel	Travel for SE support Staff For:
	399	Other Contracted Services (Specify)	
	499	Other Supplies & Materials (Specify)	
	524	In-Services/Staff Development (Specify)	
	599	Other Charges (Specify)	
	790	Other Equipment (Specify)	

C. FINANCIAL INFORMATION (continued)
6. General Purpose Funds Only
Non-Supplanting/Maintenance of Effort

a. Expenditures for 2007-2008

1. Actual amount expended for students with disabilities served (State's Sp Ed Expenditure Report 2007-2008)	<u>\$42,102,623.11</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report 2007-2008)	<u>7,393</u>
3. Per pupil expenditures 2007-2008 Divide a (1) by a (2)	<u>\$5,694.93</u>

b. Expenditures for 2008-2009

1. Actual amount expended for students with disabilities served (State Sp Ed Expenditure Report, 2008 - 2009)	<u>\$42,889,189</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report 2008-2009)	<u>7,572</u>
3. Per pupil expenditures 2008-2009 Divide b (1) by b (2)	<u>\$5,664.18</u>

c. Expenditures for 2009-2010 *

1. Actual or projected (Circle one) amount expended for students with disabilities served (State Sp Ed Expenditure Report, 2009 - 2010)	<u>\$41,258,231</u>
2. Total unduplicated count of disabled students served by the school system (End of Year Report, 2009-2010)	<u>7,738</u>
3. Per pupil actual or projected expenditures 2009-2010 Divide c (1) by c (2)	<u>\$533<u>1,902</u></u>
4. System's total net enrollment (All Students)	<u>56,356</u>
Percentage of S.E. Students (Divide c.2 by c.4)	<u>13.73%</u>

<i>DOE USE ONLY</i>
\$
\$

d. Projected Expenditures for 2010-2011

(Page 14, Total Expenditures General Purpose Funds) \$44,771,229

*** NOTE: If c.1. and c.3 are less than b.1 and b.3. , a maintenance of effort problem has occurred.** Please submit documentation that justifies this reduction in expenditures. Acceptable reasons to reduce MOE are provided at 34 CFR Part. 300. 204 *Exception to maintenance of effort*. Funding will be held until this is corrected or justified. **If d. is less than c.1.**, a potential maintenance of effort problem may occur. Work closely with your finance office/management consultant during this school year.