

DISCIPLINARY ACTION REPORT

Program	Respondent	Location	Violation	Action	Date
Accountancy	John Riordan	Roswell, GA	Disciplined by the United States Securities and Exchange Commission (SEC)	Probation of CPA license for one year or until SEC practice rights are reinstated	07/16/2019
Accountancy	KPMG LLP	Knoxville, TN	Disciplined by the United States Securities and Exchange Commission (SEC)	Compliance with SEC Order and provide Board with a copy of the Annual Certification as identified in Paragraph 112 of the SEC Order	07/16/2019
Accountancy	Jones CPA, PLLC	Gallatin, TN	Failing to comply with order	Revocation of CPA firm license	07/18/2019
Accountancy	Bradford Jones, CPA	Gallatin, TN	Failing to comply with order	Revocation of CPA license	07/18/2019
Alarm Systems Contractors	Systems Technologies	Memphis, TN	Unlicensed activity	\$1,000 civil penalty	07/31/2019
Alarm Systems Contractors	Safe Haven Security Services	North Kansas City, MO	Advertising unlicensed service	\$1,000 civil penalty	07/31/2019
Architects & Engineers	NO ACTION TAKEN				
Athletic Commission	NO ACTION TAKEN				
Auctioneers	NO ACTION TAKEN				
Burial Services	NO ACTION TAKEN				
Collections	NO ACTION TAKEN				
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Eric Gibson	Brighton, TN	Unlicensed activity	\$1,000 civil penalty plus \$200 in costs	07/22/2019
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Chris Rodgers	Hendersonville, TN	Unlicensed activity	\$2,500 civil penalty	07/22/2019
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Middle Tenn. Tile	Nashville, TN	Unlicensed activity	\$250 civil penalty	07/22/2019
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Mike Ballinger Electric	Clinton, TN	Pulling permits for unlicensed contractors to act as a general contractor	\$5,000 civil penalty	07/25/2019
Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers	Andrew Partin	Afton, TN	Unlicensed activity	\$500 civil penalty	07/31/2019
Cosmetology & Barber	VIP Spa Nail	Chattanooga, TN	Unlicensed activity	\$1,000 civil penalty	07/02/2019
Cosmetology & Barber	Tam Thi Le	Manchester, TN	Manager failed to ensure proper licensure	\$600 civil penalty	07/06/2019
Cosmetology & Barber	Carlos Shaw	Brownsville, TN	Failure to comply with TENN. CODE ANN. §56-1-109 or TENN. CODE ANN. §36-5-706	Suspension	7/10/2019
Cosmetology & Barber	Tan Ngoc Pham	Nashville, TN	Manager and owner failed to ensure proper shop licensure	\$500 civil penalty	07/11/2019
Cosmetology & Barber	Danh N. Nguyen	Nashville, TN	Manager and owner failed to ensure proper licensure and unlicensed activity	\$1,500 civil penalty	07/16/2019
Cosmetology & Barber	Thi N Nguyen	Smithville, TN	Manager and owner failed to ensure proper licensure and unlicensed activity	\$1,500 civil penalty	07/16/2019
Cosmetology & Barber	Noire The Nail Bar	Spring Hill, TN	Unlicensed activity	\$1,000 civil penalty	07/16/2019

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Cosmetology & Barber	Douoc Minh Phan	Manchester, TN	Practicing on an expired license	\$100 civil penalty	07/17/2019
Cosmetology & Barber	Ngeune Phommahaxay	Murfreesboro, TN	No manager was present during operating hours and unlicensed activity	\$600 civil penalty	07/17/2019
Cosmetology & Barber	Tammy Nails & Spa	Manchester, TN	Practicing on an expired license	\$100 civil penalty	07/17/2019
Cosmetology & Barber	Blade Kings	Nashville, TN	Business failed to ensure proper licensure	\$1,000 civil penalty	07/18/2019
Cosmetology & Barber	Pro Nails	Columbia, TN	Business failed to ensure proper licensure	\$100 civil penalty	07/18/2019
Cosmetology & Barber	Salon De Belleza "Ely Ella" #2	Morristown, TN	Unlicensed activity	\$1,500 civil penalty	07/22/2019
Cosmetology & Barber	The Salon by InStyle Inside JCPenney #419	Salt Lake City, UT	Business failed to ensure proper licensure	\$1,500 civil penalty	07/29/2019
Cosmetology & Barber	Dalia's Salon	Nashville, TN	No manager was present during operating hours	\$500 civil penalty	07/30/2019
Cosmetology & Barber	First Class Barbershop	Clarksville, TN	Business failed to ensure proper shop licensure	\$100 civil penalty	07/31/2019
Cosmetology & Barber	Porsche Yanitza Williams	Knoxville, TN	Practicing on an expired license	\$100.00 civil penalty	07/31/2019
Court Reporters	NO ACTION TAKEN				
Credit Services Businesses	Satori Credit Solutions	Bartlett, TN	Engaged in credit services business without first obtaining a valid registration	\$1,000 civil penalty	07/23/2019
Credit Services Businesses	Satori Credit Repair	Bartlett, TN	Engaged in credit services business without first obtaining a valid registration	Provide proof of refund of \$350 paid to the Complainant	07/23/2019
Debt Management	NO ACTION TAKEN				
Funeral Directors & Embalmers	NO ACTION TAKEN				
Geologists	NO ACTION TAKEN				
Home Inspectors	Richard Ralph Penn	Brentwood, TN	Failure to maintain general liability and/or E&O insurance	\$100 civil penalty plus provide proof of current insurance	07/12/2019
Home Inspectors	Steven Joseph Motruk	Dandridge, TN	Failure to maintain general liability and/or E&O insurance	\$100 civil penalty plus provide proof of current insurance	07/12/2019
Home Inspectors	Jack Daniel Mayfield, Jr.	Chattanooga, TN	Failure to provide proof of general liability and/or E&O insurance	\$100 civil penalty	07/17/2019
Home Inspectors	Nicholas Tyler Mullins	Chattanooga, TN	Failure to provide proof of general liability and/or E&O insurance	\$100 civil penalty	07/17/2019
Home Inspectors	Brian Young	Murfreesboro, TN	Failure to maintain general liability and/or E&O insurance	\$100 civil penalty	07/23/2019
Home Inspectors	Dustin Farmer	Knoxville, TN	Failure to maintain general liability and/or E&O insurance	\$100 civil penalty plus provide proof of current insurance	07/25/2019
Land Surveyors	NO ACTION TAKEN				
Locksmiths	NO ACTION TAKEN				
Motor Vehicle	Kevin's Auto Sales	Milan, TN	Failure to maintain county/business license	\$250 civil penalty	07/08/2019
Private Investigations & Polygraph	NO ACTION TAKEN				
Private Protective Services	Lashley America, Inc.	Nashville, TN	Practicing on an expired license	\$1,000 civil penalty	07/10/2019

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Private Protective Services	Nationwide Investigations & Security, Inc.	Houston, TX	Unlicensed activity	\$1,000 civil penalty	07/31/2019
Real Estate Appraisers	Coester VMS.com, Inc.	Gaithersburg, MD	Failure to remit payment within 60 days of an appraisal or valuation assignment	Voluntary surrender of appraisal management company's license	07/18/2019
Real Estate Appraisers	Stephen Small Ross	Senatobia, MS	USPAP violations	\$7,000 civil penalty	07/24/2019
Real Estate Commission	W. Craig Johnson	Columbia, TN	Failure to supervise an affiliate	\$400 civil penalty	07/10/2019
Real Estate Commission	Melissa Crabtree	Clarksville, TN	Failure to supervise an affiliate	\$400 civil penalty	07/10/2019
Real Estate Commission	Shirley A. Robins	Big Sandy, TN	Failure to supervise an affiliate	\$200 civil penalty	07/12/2019
Real Estate Commission	Summer O'Neill	Lafayette, TN	Failing to interplead earnest money funds within 21 calendar days from the date of the request for disbursement	\$500 civil penalty	07/23/2019
Real Estate Commission	James "Jay" Grant	Jackson, TN	Failure to exercise reasonable skill and care	\$1,000 civil penalty	07/23/2019
Real Estate Commission	Kevin Scott Fulton	Brentwood, TN	Failure to supervise affiliate's E&O insurance	\$400 civil penalty	07/23/2019
Real Estate Commission	Outdoor Resorts at Gatlinburg, Inc.	Gatlinburg, TN	Unlicensed activity	\$1,000 civil penalty	07/23/2019
Real Estate Commission	Felecia Dawn Magro	Collierville, TN	Continuing to engage in practice of real estate following expiration of Respondent's license; failure to transfer affiliate timely	\$500 civil penalty	07/24/2019
Real Estate Commission	David Huffaker	Mount Juliet, TN	Advertising violation	\$500 civil penalty	07/24/2019
Real Estate Commission	Max "Griffin" Elkington	Memphis, TN	Failure to supervise affiliate's E&O insurance	\$200 civil penalty	07/24/2019
Real Estate Commission	Timothy Green	Davison, MI	Failure to supervise affiliate's E&O insurance	\$400 civil penalty	07/24/2019
Real Estate Commission	John David Coulton	Nashville, TN	Failure to supervise affiliate's E&O insurance	\$400 civil penalty	07/24/2019
Real Estate Commission	Karen Thompson	Memphis, TN	Failure to supervise affiliate's E&O insurance	\$400 civil penalty	07/31/2019
Real Estate Commission	Maria A. Chavez	Chattanooga, TN	Failure to supervise affiliate's E&O insurance	\$200 civil penalty	07/31/2019
Real Estate Commission	Shawn Michael Matthews	Cleveland, TN	Failure to supervise affiliate's E&O insurance	\$200 civil penalty	07/31/2019
Real Estate Commission	Knox Staytion, LLC	Knoxville, TN	Unlicensed activity	\$1,000 civil penalty	07/31/2019
Real Estate Commission	Gary Jernigan	Murfreesboro, TN	Violation of Tennessee Real Estate Broker License Act	4 hours of continuing education in Fair Housing	07/31/2019
Scrap Metals	NO ACTION TAKEN				
Soil Scientists	NO ACTION TAKEN				